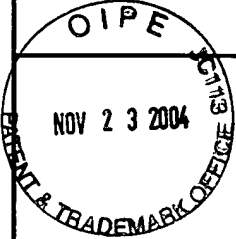


11/24/04

5/20

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) Applicant(s): Gary C. Marsden			Docket No. 15436.250.23.1	
Serial No. 10/684,007	Filing Date October 10, 2003	Examiner Unknown		Group Art Unit 2874
Invention: OPTICAL MULTIPLEXER AND DEMULTIPLEXER SYSTEMS AND METHODS USING INTERFERENCE FILTERS				
 I hereby certify that this <u>Information Disclosure Statement; PTO Form 1149; one (1) reference; postcard</u> (Identify type of correspondence) is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Commissioner of Patents and Trademarks, Washington, D.C. 20231-0001 on <u>November 23, 2004</u> (Date) <u>Kristine Blust</u> (Typed or Printed Name of Person Mailing Correspondence) <u>KTB</u> (Signature of Person Mailing Correspondence) <u>EV524684555US</u> ("Express Mail" Mailing Label Number)				

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
15436.250.23.1

In Re Application Of: Gary C. Marsden

Serial No.
10/684,007

Filing Date
October 10, 2003

Examiner
Unknown

Group Art Unit
2874

Title: **OPTICAL MULTIPLEXER AND DEMULTIPLEXER SYSTEMS AND METHODS
USING INTERFERENCE FILTERS**

Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

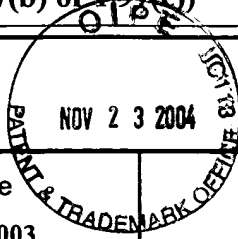
37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
15436.250.23.1

In Re Application: Gary C. Marsden



Serial No.
10/684,007

Filing Date
October 10, 2003

Examiner
Unknown

Group Art Unit
2874

**OPTICAL MULTIPLEXER AND DEMULTIPLEXER SYSTEMS AND METHODS
USING INTERFERENCE FILTERS**

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 23-3178 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
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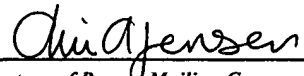
(Date)

Signature

Typed or Printed Name of Person Signing Certificate

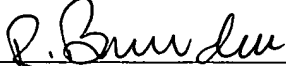
Certificate of Mailing by First Class Mail

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Chris A. Jensen
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Signature

Dated: November 23, 2004

R. BURNS ISRAELSEN

Reg. No. 42,685

Customer No. 022913

CC:

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PATENT & TRADEMARK OFFICE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art re application of		)	
		)	
	Gary C. Marsden	)	
		)	
Serial No.:	10/684,007	)	Art Unit
		)	2874
Confirmation No.:	3209	)	
		)	
Filed:	October 10, 2003	)	
		)	
For:	OPTICAL MULTIPLEXER AND DEMULTIPLEXER SYSTEMS AND METHODS USING INTERFERENCE FILTERS	)	
		)	
		)	
Examiner:	Unknown	)	

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

Since all listed references are either in the English language or are accompanied by a translation into English, no concise explanation of relevance is required under 37 C.F.R. § 1.98(a)(3).

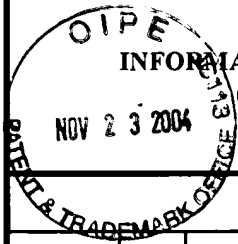
DATED November 23, 2004.

Respectfully submitted,



R. BURNS ISRAELSEN
Attorney for Applicant
Registration No. 42,685
Customer No. 022913
Telephone: (801) 533-9800

RBI: kjb
KJB0000004803V001

 INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)	Docket Number (Optional) 15436.250.23.1	Application Number 10/684,007
	Applicant(s) Gary C. Marsden	
	Filing Date October 10, 2003	Group Art Unit 2874

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1	6,208,444 B1	03/27/2001	Wong et al.	359	127	

FOREIGN PATENT DOCUMENTS

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.